



**ASSERTION OF SMALL ENTITY STATUS -
NONPROFIT ORGANIZATION (37 C.F.R. 1.27(C))**

Docket Number:

ART-00101.P.1

Applicant, Patentee, or Identifier: Aviva Biosciences Corporation

Application or Patent Number: To be determined

Filed or Issued: Filed Herewith

Title: Methods and Compositions for Identifying Nucleic Acid Molecules Using Nuclease Activities and Hybridization

I assert that the nonprofit organization identified below is a nonprofit organization:

NAME OF NONPROFIT ORGANIZATION: Tsinghua University

ADDRESS OF NONPROFIT ORGANIZATION: Beijing
People's Republic of China 100084

TYPE OF NONPROFIT ORGANIZATION:

☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION LOCATED IN ANY
COUNTRY: NAME OF COUNTRY: [People's Republic of China]

☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))

☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA

NAME OF STATE: []

CITATION OF STATUTE: []

☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C.
501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF
STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF
AMERICA

NAME OF STATE: []

CITATION OF STATUTE: []

I assert that the nonprofit organization identified above qualifies as a nonprofit organization for purposes of
paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

☒ the specification filed herewith with title as listed above.

☐ the application identified above.

☐ the patent identified above.

I assert that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent invention if that person made the invention, or by any concern which would not qualify as a small business concern or a nonprofit organization.

Each person, concern, or organization having any rights in the invention is listed below:

☐ no such person, concern, or organization exists.

☒ each such person, concern, or organization is listed below.

Aviva Biosciences Corporation

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

NAME OF PERSON SIGNING: Lei Wu

CAPACITY OF PERSON SIGNING: Inventor

ADDRESS ON PERSON SIGNING: Aviva Biosciences Corporation
11568 Sorrento Valley Road, Suite 8
San Diego, CA 92121

SIGNATURE: 

DATE: 10/16/00